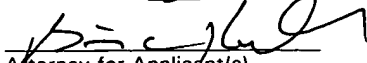


CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on December 15, 2003.

  
Attorney for Applicant(s)

PATENT APPLICATION

Docket No.: SJO920030067US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Joseph J. Fatula, Jr. )  
Serial No.: Not yet assigned )  
Filing Date: December 15, 2003 ) Group Art  
For: APPARATUS, SYSTEM, AND METHOD FOR ) Unit:  
AUTONOMIC CONTROL OF GRID SYSTEM )  
RESOURCES )

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement discloses information which has come to the attention of applicant and his attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), this Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

Neither applicant nor his attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement

shall not be construed as a representation that a search has been made or as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

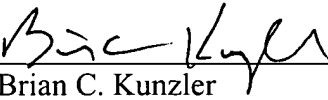
In accordance with 37 C.F.R. § 1.98, this Information Disclosure Statement includes and is accompanied by:

1. A completed copy of Form PTO-1449 listing the patents, publications and other information being submitted for consideration; and
2. A legible copy of each publication and other item of information in written form listed on the enclosed Form PTO-1449.

#### NON-ENGLISH INFORMATION

Pursuant to 37 C.F.R. § 1.98, following is a concise explanation of the relevance (as it is presently understood by the individual designated in 37 C.F.R. § 1.56(c) most knowledgeable about the content of the information), of each listed patent, publication or other information that is not in the English language.

Respectfully submitted,

  
\_\_\_\_\_  
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Attorney for Applicant

Date: December 15, 2003

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<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (use several sheets if necessary)	SERIAL NO. Not yet assigned	ATTORNEY DOCKET NO. SJO920030067US1
	FILING DATE December 15, 2003	GROUP ART UNIT
	APPLICANT(S): Joseph J. Fatula, Jr.	

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,729,715	03/17/1998	Ina et al.	395/489	09/09/1994
	A2	5,813,017	09/22/1998	Morris	707/204	09/03/1996
	A3	5,819,020	10/06/1998	Beeler, Jr.	395/182.03	10/16/1995
	A4	5,956,733	09/21/1999	Nakano et al.	707/204	03/06/1997
	A5	5,974,563	10/26/1999	Beeler, Jr.	714/5	10/02/1998
	A6	2002/0124137	09/05/2002	Ulrich et al.	711/113	01/29/2002
	A7	2002/0184249	12/05/2002	Shibata	707/204	06/05/2002
	A8	6,505,216	01/07/2003	Schutzman et al.	707/204	10/01/1999
	A9	6,526,418	02/25/2003	Midgley et al.	707/204	12/16/1999
	A10	6,571,257	05/27/2003	Duggan et al.	707/202	06/07/2000

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION	
						YES	NO

**NON-PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).